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Date of Deposit: October 11, 2000

PATENT APPLICATION

Attorney Docket No. 18519-001 (EPFL-1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Spertini

ASSIGNEE : ECOLE POLYTECHNIQUE FEDERALE DE LAUSANNE

SERIAL NUMBER : 09/506,978

EXAMINER : Not Yet Assigned

FILING DATE : February 18, 2000

ART UNIT : 1643

FOR : NOVEL BEE VENOM POLYPEPTIDES AND METHODS OF USE THEREOF

STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(b))--NONPROFIT ORGANIZATION

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

**Ecole Polytechnique Federale de Lausanne  
Lausanne, Switzerland**

I hereby state that the above identified nonprofit organization qualifies as a nonprofit organization, as defined in 37 CFR 1.9(c)(1), as a University or Other Institution of Higher Education, for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above;  
☒ the application identified above; or  
☐ the patent identified above.

I hereby state that rights under contract or law have been conveyed to, and remain with, the nonprofit organization, with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. 1.9(c), if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e)

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below: ∞

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. 37 CFR 1.28(b).

Applicant: Spertini  
U.S.S.N. 09/506,978

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing:

JEAN-JACQUES PALTENGI

Title in Organization:

DIRECTOR

Address of Person Signing:

EPFL CH-1015 LAUSANNE SWITZERLAND

Signature:

Paltenghi

Date:

Sept 27, 2000

TRADOCS:1345249.1(S%0101!.DOC)

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Attorney Docket No. 18519-001 (EPFL-1)  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Spertini  
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Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- Statement Claiming Small Entity Status for Ecole Polytechnique Federale de Lausanne
- Return Postcard.

The Commissioner is hereby authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311, Reference No. 18519-001 (EPFL-1). A duplicate copy of this Transmittal Letter is enclosed.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (617) 542-6000, Boston, Massachusetts.

Respectfully submitted,

*Ivor R. Elrifi, Reg. No. 39,529*

*Jr.*

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